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| UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT | FORM B For New Members, Candidates, | FORM B andidates, and New Employees | MAY 14 2018 | Page 1 of O |
| Name: Joshua A. Mandel | Daytime Telephone:_ | ne: | LEGISLATIVE RESOURCE CENTER 18 MAY 18 AM 10: 37 | 2E CENTER 10: 37 |
| New Member of or Candidate for State: Ohio X U.S. House of Representatives District: 11 Candidates – Date of Election: March 2020 Primary | | Check if Amendment | U.S. HOUSE OF REPREDENTIAL SHEET OF THE STATE OF THE STAT | e only |
| STATUS New Officer or Employee Staff File Employing Office: Shared | Staff Filer Type (If Applicable): Shared Principal Assistant | Period Covered: January 1, 2017 to April 30, 2018 | A \$200 penalty shall be assessed against any individual who files more than 30 days late. | ssessed against any than 30 days late. |
| PRELIMINARY INFORMATION – ANSWER EACH OF THESE | THESE QUESTIONS | SNS | | |
| A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? | X No E. C | E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? | ng the reporting Yes ugh the date of filing? | No C |
| C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the Yes reporting period? | X No F. C | F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? | arrangement with an Yes | z _o |
| D. Did you, your spouse, or your dependent child have any reportable Yes liability (more than \$10,000) at any point during the reporting period? | X No J.D | Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? | n \$5,000 from a Yes | × % |
| ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES" THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE | CORRESPONDING SCHEI | SCHEDULE IF YOU ANSWER "YES" ES THAT YOU ARE REQUIRED TO | S" COMPLETE | |
| EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER | INFORMATION - / | ANSWER <u>BOTH</u> OF THESE QUESTIONS | E QUESTIONS | |
| TRUSTS – Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trust from this report details of such a trust that benefits you, your spouse, or dependent child? | วท Ethics and certain other ' t child? | "excepted trusts" need not be disclosed. Have you excluded | Have you excluded Yes | No X |
| EXEMPTION – Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | ncome, or liabilities of a spo | ouse or dependent child because they mee | et all three tests for Yes | No X |

Name:

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For an ownership interest in a privately-held busines that is not publicly traded, state the name of th business, the nature of its activities, and it geographic location in Block A. For bank and other cash accounts, total the amount in all interest-bearing accounts. If the total is over \$5,000, list every financial institution where there is more than \$1,000 in interest-bearing accounts. For **all IRAs** and other retirement plans (such a 401(k) plans) provide the value for each asset held the account that exceeds the reporting thresholds. For a detailed discussion of Schedule A requirement please refer to the instruction booklet. Provide complete names of stocks and mutual funds (do not use only ticker symbols). production of income and with a fair market value exceeding \$1,000 at the end of the reporting period and (b) any other reportable asset or source of income income source is that of your spouse (SP) or dependent child (DC), or jointly held with anyone (JT in the optional column on the far left. income during the reporting period); and any financia interest in, or income derived from, a federa ≒ ဌ f you report a privately-traded fund that is a Excepted Investment Fund, please check the "EIF etirement program, including the Thrift Savings Plan nomes and vacation homes (unless there was renta Exclude: Your personal residence, including secon rental property," and a city and state. provide a complete or rental and other real property held for investmen ≒ you so choose, you may indicate that an asset Assets and/or Income Sources generated AT&T Inc. Abbvie Inc. (ABBV) Abbott Laboratories (ABT) Examples Ball Corp. (BLL) (a) each asset held for investment more than Э ABC Hedge Fund Simon & Schuster Wega Corp Stock address \$200 or description, 3 띢 >< 5 If an asset was sold during the reporting period and is included only because it generated income, the value should be specify the method used indicate value of asset at close of the reporting period. If you use a valuation method other than fair market value, please None Þ *Column M is for assets held by your spouse or dependen shild in which you have no interest \$1-\$1,000 В \$1,001-\$15,000 C ndefinite \$15,001-\$50,000 D × × Value of Asset \$50,001-\$100,000 m \$100,001-\$250,000 BLOCK B G × \$250,001-\$500,000 I \$500,001-\$1,000,000 \$1,000,001-\$5,000,000 _ \$5,000,001-\$25,000,000 _ \$25,000,001-\$50,000,000 ᄌ Over \$50,000,000 ₹ Spouse/DC Asset over \$1,000,000* oul Check all columns that apply. For accounts as the generale tax-deferred income (such as as 401(k), IRA, or 529 accounts), you may check count of the "Tax-Deferred" column Dividends, count of the "Tax-Deferred" column Dividends, or the "Tax-De NONE for assets held in taxable accounts. Check "None" if the asset generated no income luring the reporting period × × × × DIVIDENDS RENT Type of Income INTEREST BLOCK C CAPITAL GAINS **EXCEPTED/BLIND TRUST** TAX-DEFERRED Partnership Royatties income Other Type of Income (Specify: e.g., Partnership Income or Farm Income) For assets for which you checked "Tax-Defered" in Block C, you may check the "None" column. For all othe assets indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income for assets held in taxable accounts Check "None" if no income was earned or generated. None 'Column XII is for assets held by your spouse or dependent child in which you have no interest = × \$1-\$200 \$201-\$1,000 ≡ × \$1,001-\$2,500 ₹ < Current Year \$2,501-\$5,000 ≤ \$5,001-\$15,000 × ≦ \$15,001-\$50,000 ≦ \$50,001-\$100,000 \$100,001-\$1,000,000 ≂ \$1,000,001-\$5,000,000 × Amount of Income ≚ Over \$5,000,000 BLOCK D ≚ Spouse/DC Income over \$1,000,000 None \$1-\$200 = ≖ × \$201-\$1,000 × ₹ \$1.001-\$2.500 × Preceding < \$2,501-\$5,000 ٧ \$5,001-\$15,000 ≦ × \$15,001-\$50,000 | Year ≦ \$50,001-\$100,000 \$100,001-\$1,000,000 ≂ × \$1,000,001-\$5,000,000 For all other ≥ Over \$5,000,000 ≚ Spouse/DC Income over \$1,000,000*

| | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Investors Bancorp Inc. (ISBC) Kinder Morgan Inc. (KMI) | Bancorp Inc. (ISBC) | Bancorp Inc. (ISBC) | | . (INTC) | Goldman Sachs Group Inc. (GS) | General Electric Co. (GE) | First Financial Bancorp Ohio (FFBC) | Fastenal Co. (FAST) | Farmland Partners Inc. (FPI) | Facebook Inc. Class A (FB) | Exxon Mobil Corp. (XOM) | DowDuPont Inc. (DWDP) | Discover Financial Services (DFS) | Citizens Financial Group Inc. (CFG) | Chemical Financial Corp. (CHFC) | Catchmark Timber Trust Inc Class A (CTT) | Bristol Myers Squibb Co. (BMY) | Bank of America Corp. (BAC) | ASSET NAME | | | | Sagora allaiot moonic con coo | BLOCK A | | |
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| Marvell Technology Group Inc Call option at \$26 exp. 05/18/18 (MRVL 5/18/18 C26) | Marvell Technology Group Inc Put option at \$22 exp. 05/18/18 (MRVL 5/18/18 P22) | SPDR S&P Biotech (XBI) | TE Connectivity Ltd. (TEL) | Marvell Technology Group Inc. (MRVL) | XPO Logistics Inc. (XPO) | Weyerhaeuser Company (WY) | UnitedHealth Group Inc. (UNH) | United Continental Holdings Inc. (UAL) | | Southern Company (SO) | Schlumberger Ltd. (SLB) | | | | Mondelez International Inc Class A (MDLZ) | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| SCHEDULE A - ASSETS & "UNEARNED INCOME" BLOCK A BLOCK B BLOCK B Value of Asset | - ASSETS & | <u>م</u> | | │ │ | <u> </u> | | ≤ □ | | NCOM BLOCK B | BLOCK B | │ | | i H | | | | | | IV. | Na Type q | Name BLOCH Type of In | Name: BLOCK C Type of Incor | Name: | Name: BLOCK C Type of Income | Name: | | | | | | | | Name: Joshua A. Mandel BLOCK C Type of Income | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel | Joshua A. Mandel Page | Joshua A. Mandel | Joshua A. Mandel Page | Joshua A. Mandel Page | Joshua A. Mandel Page S of Of Office of Income Page S of Office of Income | Joshua A. Mandel Page 5 of 3 | Joshua A. Mandel Page 5 of Page 5 of Amount of Income |
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| SPDR S&P Biotech - Call option at \$87 JT exp. 06/15/18 (XBI 6/15/18 C87) | Call option at \$87 | | | | × | | | | | | | | | | | × | | - | | | | | | | × | × | × | × | × | × | × | × | × | × | × | × | × | | | | | | | | | | | |
| Goldman Sachs Group Inc Call option JT at \$250 exp. 07/20/18 (GS 7/20/18 C250) | λυρ Inc Call option /18 | | | | × | | | | | | | | | | | × | | | | | | | | | × | × | × | × | × | × | × | × | × | × | × | × | × | | | | | | | | | | | |
| Discover Financial Services - Call option JT at \$82.50 exp. 07/20/18 (DFS 7/20/18 C82.5) | ervices - Call option 0/18 (DFS 7/20/18 | | | × | | ļ | | ļ | | | | | | | | × | | | | t | | | | | × | × | × | × | × | × | × | × | × | × | × | × | × | | | | | | | | | | | |
| Facebook Inc. Class A - Call option at JT \$195 exp. 09/21/18 [(FB 9/21/18 C195) | A - Call option at | | | × | | | | | | | | | | | | × | | | | | | | | | × | × | × | × | × | × | × | × | × | × | × | × | × | | | | | | | | | | | |
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| | Prime Mortgage Trust - REMIC Series 2005-2 Class I-A-3 maturing 07/25/20 (CUSIP 74160MHL9) | Youngstown State University - Revenue Bond maturing 12/15/22 (CUSIP 987720DW6) | Frankim County OH Hospital Facilities - Revenue Bond maturing 11/01/22 (CUSIP 353187AK3) | Greater Cleveland Regional Transit Auth G.O. Bond maturing 12/01/19 (CUSIP 391674IN9) | Valley National Bancorp Preferred Series A (VLY-A) | US Bancorp Depositary Shares Preferred Series A (USB-A) | US Bancorp Depositary Shares Preferred Series F (USB-M) | Morgan Stanley Depositary Shares Preferred Series 1 (MS-I) | JP Morgan Chase & Co. Depositary Shares Preferred Series T (JPM-B) | | | | | BLOCK A Assets and/or Income Sources | | | HEDULE A - ASSETS & |
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| Atlas Air Worldwide Holdings Inc Call option at \$55 exp. 05/19/17 (AAWW 5/19/17 C55) | Atlas Air Worldwide Holdings Inc Call option at \$52.50 exp. 02/17/17 (AAWW 2/17/17 C52.5) | Atlas Air Worldwide Holdings Inc. (AAWW) | AT&T Inc Global Note maturing 02/01/18 (CUSIP 00206RAJ1) | Allbaba Group Holding Ltd Call option at \$100 exp. 05/19/17 (BABA 5/19/17 C100) | Alibaba Group Holding Ltd. (BABA) | AFLAC Incorporated (AFL) | AECOM - Call option at \$37.50 exp. 06/16/17 (ACM 6/16/17 C37.5) | AECOM - Call option at \$35 exp. 09/15/17 (ACM 9/15/17 C35) | AECOM (ACM) | Stifel - FDIC-Insured Bank Program Money Market Deposit Account | Chubb Ltd. (CB) | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| CyrusOne Inc Put option at \$60 exp. 03/16/18 (CONE 3/16/18 P60) | CyrusOne Inc Call option at \$65 exp. 03/16/18 (CONE 3/16/18 C65) | CyrusOne Inc - Call option at \$65 exp. 12/15/17 (CONE 12/15/17 C65) | CyrusOne Inc - Call option at \$65 exp. 11/17/17 (CONE 11/17/17 C65) | CyrusOne Inc (CONE) | Cummins Inc Call option at \$165 exp. 09/15/17 (CMI 9/15/17 C165) | Cummins Inc. (CMI) | Cleveland Electric Illuminating Co Senior Note maturing 04/01/17 (CUSIP 186108CF1) | Citigroup Inc Call option at \$57.50 exp. 05/19/17 (C 5/19/17 C57.5) | Citigroup Inc. (C) | British American Tobacco PLC (BTI) | ASSET NAME EIF | | | | Assets and/or Income Sources | BI OCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
| V | × | × | × | × | | × | J | × | × | v | | None | - | J | | + | ┥ | ₫ |
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| | | | | \vdash | | - | | | | | | \$1,001 - \$15,000 | | <u></u> | | ŀ | \dashv | ₽ |
| | | | | H | | H | | | | \vdash | | \$15,001 - \$50,000 | | 0 | | ŀ | ┪ | ĝ |
| | | | | ┢─ | | 一 | | | | | | \$50,001 - \$100,000 | | т | < | f | ╡ | ₩ |
| | | | | \vdash | | Г | | | \vdash | | | \$100,001 - \$250,000 | | ╗ | Value of Asset | _₽ ŀ | ┪ | Z |
| | | | | | | T | | | | | | \$250,001 - \$500,000 | | o | 9 | B OCK B | ヿ | $\overline{\Sigma}$ |
| | | | | Г | | Г | | | 1 | | | \$500,001 - \$1,000,000 | | ᆿ | A C | ֡֟֝֟֝֟֝֟֓֓֓֓֓֓֓֓֓֓֟ | ┪ | ž |
| | | | | | | | | | | | | \$1,000,001 - \$5,000,000 | | -1 | set | | ╗ | Π |
| : | | | | | | | | | | | | \$5,000,001 - \$25,000,000 | | - | | I | | |
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| | | | | | | | | | | | | Over \$50,000,000 | | Ļ | | | | |
| | | | | | | | | | | | · | Spouse/DC Asset over \$1,000,000* | | Z | | | | |
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| | × | × | × | Г | | × | | | × | | | CAPITAL GAINS | | \neg | 9 | ွှင် | ╗ | Name: |
| | | | | | | | | | 1 | | | EXCEPTED/BLIND TRUST | | | Type of Income | B OCK C | ヿ | 99 |
| | | | | ┰ | | | | | | | | TAX-DEFERRED | | _ | Ð. | ¨ | ヿ゙ | |
| | | | | | | | | | | | | Other Type of Income (Specify: e.g., Partnership Income or Farm Income) | | | | ľ | ٦ | |
| | | | L. | - | | L | J | J | - | u | | | - | - | | 4 | - | |
| × | | × | × | ⊢ | <u> </u> | × | × | × | × | <u> </u> | \vdash | None | _ | | | ŀ | - | |
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| | × | | | ⊢ | ├─- | ┢ | | | ╁╴ | | | \$2,501 - \$5,000 | < | ဂ | | ŀ | \dashv | lua |
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| \vdash | | | | ⊢ | | H | | | ╁ | | | \$50,001 - \$100,000 | IIIA IIA IA | Current Year | | ŀ | \dashv | Joshua A. Mandel |
| | | | <u> </u> | ╁ | ├ | H | | | + | | | \$100,001 - \$1,000,000 | = | | | ŀ | \dashv | de |
| | | | | ┢ | ├─- | H | | <u> </u> | ╁┈ | | f | \$1,000,001 - \$5,000,000 | × | | > | ŀ | | |
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| | | × | × | × | | \vdash | <u> </u> | | T | | | \$1,001 - \$2,500 | ₹ | | | ľ | ┨ | Page |
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| Facebook Inc. Class A - Call option at \$185 exp. 06/15/18 (FB 6/15/18 C185) | Facebook Inc. Class A - Call option at \$175 exp. 03/16/18 (FB 3/16/18 C175) | Facebook Inc. Class A - Call option at \$170 exp. 03/16/18 (FB 3/16/18 C170) | Facebook Inc. Class A - Call option at \$165 exp. 12/15/17 (FB 12/15/17 C165) | Facebook Inc. Class A - Call option at \$155 exp. 12/15/17 (FB 12/15/17 CL55) | Facebook Inc. Class A - Call option at \$155 exp. 09/15/17 (FB 9/15/17 C155) | Facebook Inc. Class A - Call option at \$145 exp. 06/16/17 (FB 6/16/17 C145) | Facebook Inc. Class A - Call option at \$135 exp. 03/17/17 (FB 3/17/17 C135) | EXA Corp. (EXA) | E.l. du Pont de Nemours & Co. (DD) | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
| |) |) | | | L <u></u> | | | | | EF | | | | Š | | \Box | ζo |
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| | | | | | | | | | 1 | | \$100,001 - \$250,000 | | 'n | Value of Asset | В | | Z |
| | | | | | | | | | | | \$250,001 - \$500,000 | | 9 | 힟 | BLOCK B | | ္က |
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| | | | | | <u> </u> | | | | | | \$5,000,001 - \$25,000,000 | | _ | | | | |
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| | | | | | | <u> </u> | | | | | \$5,001 - \$15,000 | VI VII VIII | Current Year | | | Ш | Joshua A. Mandel |
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| × | u | × | × | × | × | × | | ╁ | 1 | ┢ | None | _ | | ПC | \overline{C} | - | |
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| на II 07/3 | на!! | Halli exp. | Hall 01/3 | 표 | Gold Not 381 | Gen | Fore | First 201 | Face \$18 | | | | | Ass | r | 7 | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
| Halliburton Co Call option at \$50 exp 07/21/17 (HAL 7/21/17 CS0) | Halliburton Co Call option at \$47.50 exp. 10/20/17 (HAL 10/20/17 C47.5) | Halliburton Co Call option at \$47.50 exp. 04/20/18 (HAL 4/20/18 C47.5) | Halliburton Co Call option at \$45 exp. 01/19/18 (HAL 1/19/18 C45) | Halliburton Co. (HAL) | Goldman Sachs Group Inc Senior Note maturing 04/01/18 (CUSIP 38141GFM1) | Genesis Energy LP (GEL) | Forest City Realty Trust Class A (FCE-A) | First Trust - 6050 Stifel Best Picks, May 2016 (CUSIP 30288U566) | Facebook Inc. Class A - Call option at \$185 exp. 09/21/18 (FB 9/21/18 C185) | | | | | BLOCK A Assets and/or Income Sources | | | ĝ |
| m Co. | м Со. 0/17 | м Со. 0/18 | Ή Co. | β Ž | Sach: uring M1) | nergy | y Rea | SIP 3C SIP 3C | Inc. (| | | | | and/ | | | Ē |
| - Cal 7/21 | - Cal | HAL Cal | 1/19 | Œ. | 04/0 | , LP (c | lty Tr | 50 Sti | Jass. 1/18 | ASSET NAME | | | | l/or Incom | | 1 | > |
| l opti /17 C | l opti 10/2 | l opti 4/20, | l opti /18 C | ~ | 1/18 | SEL) | ust C | fel Be 7566) | A - Ca (FB 9 | NAMI | | | | icor > | Š | | , > |
| on at :50) | on at 0/17 | on at /18 C | on at 45) | | cus (cus | | lass A | est Pi | all op: | m | | | | Te (| | | SS |
| \$50 | \$47 C47 | \$47. 47.5) | \$45 | | Pinior | | (Fig. | Cc S | tion a | | | | | Š | ł | | Ĭ |
| exp. | 50 | 50 | exp. | | | | ۼ | Лay | .85) | | | | | Seo. | | | Ś |
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| | | | | ┢ | | | | | | | \$500,001 - \$1,000,000 | | Ξ | lue of As | <u>:</u> - | ╡ | Ş |
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| <u> </u> | | | | \vdash | | <u> </u> | ļ | | _ | <u> </u> | Over \$5,000,000 | _ | Ī | | L | _ | |
| I | L | <u> </u> | <u>l</u> | | | | | | | | Spouse/DC Income over \$1,000,000* | È | | | ┸ | | |

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| Kansas City Southern Co. (KSU) | JP Morgan Chase & Co Call option at \$97.50 exp. 03/16/18 (JPM 3/16/18 C97.5) | JP Morgan Chase & Co Call option at \$92.50 exp. 12/15/17 (JPM 12/15/17 C92.5) | JP Morgan Chase & Co. (JPM) | John Wiley & Sons Inc. Class A (IW/A) | Inphi Corp Call option at \$50 exp. 04/21/17 (IPHI 4/21/17 C50) | Inphi Corp. (IPHI) | INC Research Holdings - Call option at \$55 exp. 06/16/17 (INCR 6/16/17 C55) | INC Research Holdings (INCR) | Healthcare Realty Trust Inc. (HR) | Halliburton Co Call option at \$55 exp. 04/21/17 (HAL 4/21/17 C55) | Halliburton Co Call option at \$52.50 exp. 07/21/17 (HAL 7/21/17 C52.5) | | | Assets | | | SCHEDULE |
| City So | an Cha exp. 03 | an Cha exp. 12 | an Cha | ley & s | orp C 7 (IPHI | vrp. (IPI | earch F | earch t | are Rea | ton Co .7 (HAL | ton Co /21/17 | | | and/or income sources | _ | H | LE L |
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| MasTec Inc Call option at \$42 exp. 10/20/17 (MTZ 10/20/17 C42) | MasTec Inc. (MTZ) | Mainsource Financial Group Inc. (MSFG) | Magna International Inc. Class A - Call option at \$45 exp. 03/17/17 (MGA 3/17/17 C45) | Magna International Inc. Class A - Call option at \$42.50 exp. 06/16/17 (MGA 6/16/17 C42.5) | Magna International Inc. Class A (MGA) | Las Vegas Sands Corp Call option at \$62.50 exp. 09/15/17 (LVS 9/15/17 C62.5) | Las Vegas Sands Corp. (LVS) | Keycorp Preferred Series C (KEY-H) | Kansas City Southern Co Put option at \$110 exp. 03/16/18 (KSU 3/16/18 P110) | Kansas City Southern Co Call option at \$90 exp. 07/21/17 (KSU 7/21/17 C90) | ASSET NAME | | | | | Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Microsoft Corp Call option at \$65 exp. 03/17/17 (MSFT 3/17/17 C65) | Micron Technology Inc Call option at \$27 exp. 07/21/17 (MU 7/21/17 C27) | Micron Technology Inc. (MU) | MGM Resorts International - Call option at \$34 exp. 01/19/18 (MGM 1/19/18 C34) | MGM Resorts International - Call option at \$31 exp. 09/15/17 (MGM 9/15/17 C31) | MGM Resorts International - Call option at \$29 exp. 06/16/17 (MGM 6/16/17 C29) | MGM Resorts International - Call option at \$29 exp. 03/17/17 (MGM 3/17/17 C29) | MGM Resorts International (MGM) | Medical Properties Trust (MPW) | MasTec Inc Call option at \$46 exp. 10/20/17 (MTZ 10/20/17 C46) | ASSET NAME | | | | BLOCK A Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Joshua A. Mandel | |
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| SPDR S&P Biotech - Call option at \$69 exp. 06/16/17 (XBI 6/16/17 C69) | SPDR Regional Banking ETF - Call option at \$60 exp. 03/16/18 (KRE 3/16/18 C60) | SPDR Regional Banking ETF (KRE) | Schlumberger Ltd Call option at \$90 exp. 05/19/17 (SLB 5/19/17 C90) | Royal Caribbean Cruises Ltd Call option at \$85 exp. 03/17/17 (RCL 3/17/17 C85) | Royal Caribbean Cruises Ltd. (RCL) | Reynolds American, Inc. (RAI) | Oneok Partners LP (OKS) | Norfolk Southern Corp Call option at \$97.50 exp. 03/17/17 (NSC 3/17/17 C97.5) | Norfolk Southern Corp. (NSC) | Nike Inc. Class B (NKE) | Call option at \$50 exp. 03/17/17 (MDLZ 3/17/17 C50) | Mondelez International Inc. Class A - | ASSET NAME | | | | | Assets and/or Income Sources | | |
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| United Continental Holdings Inc Call option at \$72.50 exp. 03/16/18 (UAL | United Continental Holdings Inc Call option at \$70 exp. 12/15/17 (UAL 12/15/17 C70) | United Continental Holdings Inc Call option at \$67.50 exp. 01/19/18 (UAL 1/19/18 C67.5) | Tech Data Corp Call option at \$105 exp. 09/15/17 (TECD 9/15/17 C105) | Tech Data Corp Call option at \$100 exp. 12/15/17 (TECD 12/15/17 C100) | Tech Data Corp. (TECD) | Target Corporation (TGT) | Take-Two Interactive Inc Call option at \$49 exp. 01/20/17 (TTWO 1/20/17 C49) | Take-Two Interactive Inc. (TTWO) | SPDR S&P Biotech - Call option at \$75 exp. 11/17/17 (XBI 11/17/17 C75) | ASSET NAME | | | | BLOCK A Assets and/or income Sources | i | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| XPO Logistics Inc Call option at \$50 exp. 08/18/17 (XPO 8/18/17 C50) | XPO Logistics Inc Call option at \$46 exp. 05/19/17 (XPO 5/19/17 C46) | Worldpay Inc Put option at \$70 exp. 02/16/18 (WP 2/16/18 P70) | Worldpay Inc Call option at \$75 exp. 02/16/18 (WP 2/16/18 C75) | Worldpay Inc. (WP) | Western Gas Partners LP - Call option at \$65 exp. 08/18/17 (WES 8/18/17 C65) | Western Gas Partners LP - Call option at \$60 exp. 05/19/17 (WES 5/19/17 C60) | Welltower Inc. (HCN) | WABCO Holdings Inc Call option at \$120 exp. 09/15/17 (WBC 9/15/17 C120) | WABCO Holdings Inc. (WBC) | Vantiv Inc. Class A - Put option at \$70 exp. 02/16/18 (VNTV 2/16/18 P70) | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | 3 | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| rcial real estate: former ! Park Plaza !yton Ave. OH | ≥ 457 Dayton LLC / Green Park Plaza Ltd. | Paran Equities Company, Ltd. | JP Morgan Chase Bank NA (deposit accounts) | 2112 Acacia Park Drive, #504 Lyndhurst, OH | real estate located at: | 2222 Sandman Drive; Columbus, OH | ⅓ real estate located at: | Statehouse Properties LLC | Ohio Public Employees Retirement System (OPERS) - Defined Benefit Pension Plan | XPO Logistics Inc Call option at \$55 exp. 11/17/17 (XPO 11/17/17 C55) | ASSET NAME | | | | | BLOCK A Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| Y commercial real estate: Highlands Plaza 102-216 Collins Circle Prestonburg, KY | □ Eastern Kentucky □ Development Associates LP | Delhi Plaza 4990 Dethi Pike Cincinnati, OH | ☑ Delhi Plaza Associates LP | ≥ commercial real estate: 4940 Delhi Pike Cincinnati, OH | ☑ Delhi Pike Properties LLC | \(\sum_{\text{commercial real estate:}}\) Ambassador Plaza 22293-22683 Euclid Ave. Euclid, OH | ✓ Ambassador Holdings LLC | ASSET NAME EIF | | | | BLOCK A Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| ∨ commercial real estate: Dillonvale Shopping Center 3900 E. Galbraith Cincinnati, OH | ህ Hamilton II, LLC | Support of the commercial real estate: Galion West Shopping Center 200-230 Portland Way Galion, OH | ☑ Galion West Associates LLC | Southgate Shopping Center 133 West Catherine Street Chambersburg, PA | > Franklin Southgate Company LLC | ASSET NAME E | | | | BLOCK A Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| 'S commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH | → Ridgeview Partners LP | ∨ commercial real estate: Fostoria Plaza 530-640 Plaza Drive Fostoria, OH | ✓ Midstate Properties Company Limited LLC | Support of the state of the sta | ✓ Middletown Properties Company Limited LLC | Y commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / 5200 Memphis Cleveland, OH | ∨ Memphis Fulton Associates LP | ASSET NAME | | | | Assets and/or Income Sources | BI OCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| 'N commercial real estate: Taylor Commons 1901-1929 S. Taylor Road Cleveland Hts., OH | ☐ ✓ Taylor Commons Associates Limited LLC | 'S commercial real estate: The Orchard Plaza 5823 Darrow Rd. Hudson, OH | ህ Summit II, LLC | 'Sugarcreek Plaza Sugarcreek Plaza 1253 E. Caro Rd. Caro, MI | Sandusky Properties Company, Ltd. LLC | 'y commercial real estate: Broadway Shoppes 6549 Broadway Cleveland, OH | א Rzepak LLC | ASSET NAME | | BLOCK A Assets and/or Income Sources | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| ✓ residential real estate: University Commons Apts. 1900 E. 30th St. Cleveland, OH | ソ 1900 East 30th Street LLC | Y commercial real estate: Caro Centre 1530-1560 W. Caro Rd. Caro, M! | Ya Tuscola Properties Company Limited LLC | S commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH | > Trumbull Properties Company LP | ע commercial real estate: 2107 S. Taylor Road Cleveland Hts., OH | ☐ Taylor Commons Partners, Ltd. LLC | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| ∨ hotel: Glidden House 1901 Ford Drive Cleveland, OH | ソ Glidden House Associates LP | 'y residential real estate: Waldorf Towers Apts. 2300 Overlook Rd. Cleveland Hts., OH | Waldorf Partners Limited Partnership | 'y residential real estate: Highland Towers Apts. 900 W. Market St. Akron, OH | → Highland Square Partners LLC | ✓ residential real estate: Findlay Gardens Apts. 2800 South Main Street Findlay, OH | → Findlay Garden LLC | ASSET NAME | | | | Assets dilutoi ilicolne soulces | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| > commercial real estate: 240-250 Sheldon Road Berea, OH | Berea Properties Company | Israel Bonds | Israel Bonds | Israel Bonds | Israel Bonds | Israel Bonds | McDonald's Corp. (MCD) | American Funds 2040 Target Date Fund (held in 403(b) plan managed by MassMutual) | Minnesota Life - Adjustable Life Insurance | New York Life - Whole Life Insurance Policy | ASSET NAME | | | | Assets and/or Income Sources | BLOCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| 뇌 hotel: Glidden House 1901 Ford Drive Cleveland, OH | Glidden House Associates LP | '\tesidential real estate: Findlay Gardens Apts. 2800 South Main Street Findlay, OH | Findlay Garden LLC | 'y commercial real estate: Delhi Plaza 4990 Delhi Pîke Cîncînnatî, OH | Delhi Plaza Associates LP | 'y commercial real estate: Middletown Shopping Ctr. 413-665 S. Breiel Middletown, OH | → Middletown Properties Company Limited LLC | Berimore Nominee Company, P.L.L. | ASSET NAME | | | | Assets and/or income Sources | BI OCK A | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| 'y commercial real estate: Ambassador Plaza 22293-22683 Euclid Ave. Euclid, OH | Amba | 'y commercial real estate: site of former Austin Village Plaza 2894 West Market St. Warren, OH | Trumbull Properties Company LP | 'N commercial real estate: Ridgeview Plaza 1937-1983 Ridge Ave SE Warren, OH | 쿭 | Paran Management Company, Ltd. (property management) | V commercial real estate: Memphis Fulton Shopping Center 4215 Fulton / 5200 Memphis Cleveland, OH | Memphis Fulton Associates LP | ASSET NAME EIF | | | | Assets and/or income Sources | | | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| (consuming) | | Accounts receiveable owed by Jonathan E. Petrea | Accounts receiveable owed by Roselawn Associates, LLC | P Y Forest City Realty Trust · Class A (FCE-A) | '\ The Berimore Company, P.L.L | P DAILER, LLC | Wicke (Wick | ≥ residential real estate: Waldorf Towers Apts. 2300 Overlook Rd. Cleveland Hts., OH | Waldo | Dillonvale Shopping Center 3900 E. Galbraith Cincinnati, OH | א commercial real estate: | | ASSET NAME | | | | Assets and/or income Sources | 2 | SCHEDULE A - ASSETS & "UNEARNED INCOME" |
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| H | | | | | | Ť | | | 1 | | 十 | 1 | | \$50,001 - \$100,000 | 15 | | | | 읔 |
| П | | Ī | | 1 | Τ_ | | | | | | ╗ | | | \$100,001 - \$1,000,000 |]= | | | | 1 11 1 |
| | | | | | | Γ | | | | | | _1 | | \$1,000,001 - \$5,000,000 × | - | | | | 36 |
| \Box | | | | | | Γ | | | | | ╧ | | | Over \$5,000,000 ≚ |] | | | |] 0] |
| L_J | | | | | | _ | _ | | | | | _ | _ | | | | | | |

SCHEDULE C - EARNED INCOME

Name: Joshua A. Mandel Page 34 36

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff. INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for **EXCLUDE**: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

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|--|---------------|------------------------|----------------|
| | | | Amount |
| Source (include date of receipt for nonoraria) | iype | Current Year to Filing | Preceding Year |
| | Honorarium | \$0 | \$500 |
| Examples: State of Maryland | Salary | \$20,000 | \$76,000 |
| Ontario County Board of Education | Spouse Salary | N/A | N/A |
| State of Ohio | Salary | \$37,946.40 | \$109,961.92 |
| Case Western Reserve University | Spouse Income | n/a | n/a |
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SCHEDULE D - LIABILITIES

Name: Joshua A. Mandel

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. New Members: Members are required to report all liabilities secured by real property including mortgages on their personal residence. Exclude: Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the ciose of the reporting period *Column K is for liabilities held solely by your spouse or dependent child.

| 2 | | | | | SP, DC, JT | | |
|---|---|--|--|--|--|----|----------------------|
| | | | Fìg | Exa | 1 | | _ |
| | | | Investors | Example | | | |
| | : | | Fig Investors, LLC (Celina, OH) | First Bank of Wilmington, DE | Creditor | | : |
| | | | 1/2013 | 5/98 | Date Liability Incurred MO/YR | | |
| | | | Mortgage for Statehouse Properties LLC | Mortgage on Rental Property. Dover, DE | Type of Liability | | |
| | | | × | | \$10,001- \$15,000 | A | |
| | | | | | \$15,001- \$50,000 | | |
| | | | : | | \$50,001- \$100,000 | C | |
| | | | | × | \$100,001- \$250,000 | D | $\Big _{\downarrow}$ |
| | | | | | \$250,001- \$500,000 | m | Amount of Liability |
| | | | | | \$500,001- \$1,000,000 | Ti | t of Li |
| | | | | | \$1,000,001- \$5,000,000 | 6 | ability |
| | | | | | \$5,000,001- \$25,000,000 | = | |
| | | | | | \$25,000,001- \$50,000,000 | _ | |
| | | | | | Over \$50,000,000 | _ | |
| | | | | | Over \$1,000,000* (Spouse/DC Liability) | ~ | |

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. New Members and second-year candidates report positions held in the reporting period and the current calendar year and two previous years.

| Position | Name of Organization |
|--------------------|---|
| Partner | Statehouse Properties LLC |
| Treasurer of State | State of Ohio |
| | As Ohio Treasurer of State, filer has staff designees that represent the Treasurer's office |
| | on multiple organizations throughout State government. |
| | |

SCHED

NONE TO REPORT .

| Date | Identify the da continuation or employer. | SCHEDU |
|----------------------|---|-------------------------------|
| Parties to Agreement | te, parties to, and general terms of any agreement or arrangement that you har deferral of payments by a former or current employer other than the U.S. gov | SCHEDULE F - AGREEMENTS |
| Terms of Agreement | Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer. | Name: Joshua A. Mandel Page 3 |
| | it service; a former | Page 36 of 36 |

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

| Source (Name and City/State) | Brief Description of Duties |
|---|-----------------------------|
| Example: Doe Jones & Smith, Hometown, Homestate | Accounting Services |
| State of Ohio | State Treasurer service |
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